



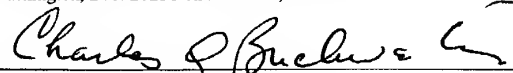
Sector \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of )  
John Liu et al )  
Serial No. 09/208,963 ) Art Unit 1742  
Filed: December 10, 1998 )  
Attorney Docket Number: 97-2739 )  
For: High Toughness Plate Alloy for )  
Aerospace Applications )

TRANSMITTAL

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on March 4, 1999

  
Charles Q. Buckwalter, Reg. No. 32969  
Date of Signature: March 4, 1999

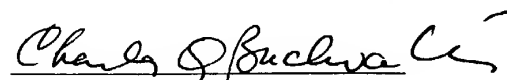
BOX MISSING PARTS  
Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Application Filing Date Granted" dated January 20, 1999, enclosed is an executed Declaration for the above-identified patent application. Please charge the appropriate fee to Deposit Account No. 01-1000 of Alcoa Inc. This sheet is in duplicate.

Respectfully submitted,

Charles Q. Buckwalter, Esq.  
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Alcoa Technical Center  
100 Technical Drive  
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Attorney for Applicant(s)  
Reg. No. 32969



Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
| 09/208,963         | 12/10/98            | LIU                   | 97-2739                   |

0232/0120  
CHARLES Q BUCKWALTER  
ALUMINUM COMPANY OF AMERICA  
ALCOA TECHNICAL CENTER  
100 TECHNICAL DRIVE  
ALCOA CENTER PA 15069-0001



NOT ASSIGNED

DATE MAILED:

01/20/99

### NOTICE TO FILE MISSING PARTS OF APPLICATION

**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 130.00.

☒ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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